



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ajitkumar B. Nair et al.

Examiner: Unknown

Serial No.: 10/690,004

Group Art Unit: Unknown

Filed: October 21, 2003

Docket No.: 1001.1696101

For: UNFOLDING BALLOON FOR PROXIMAL EMBOLUS PROTECTION

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20th day of January, 2004.

By

Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (Pursuant to 37 CFR §1.98(a)(2)(i), copies of the references are not being submitted).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By

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P A T E N T

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 20th day of January, 2004.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

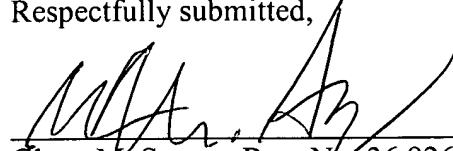
Pursuant to 37 CFR§1.98(a)(2)(i), copies of the documents listed on the 1449 Form are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated:

Jan. 20, 2004

Respectfully submitted,



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| FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary) | Docket Number: 1001.1696101 | Application Number: 10/690,004 |
| | Applicant: Ajitkumar B. Nair et al. | |
| | Filing Date: October 21, 2003 | Group Art Unit: Unknown |

U.S. Patent Documents

| Examiner Initial | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS |
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| EXAMINER: | DATE CONSIDERED: |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. | |